CH-1-4 INCORP SECNAVINST 5215.1C 14 APRIL 1970

Department of the Navy

# DIRECTIVES ISSUANCE SYSTEM



Office of the Chief of Naval Operations
Naval Records Management Branch (Op-09B1)
Washington, D.C. 20350



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#### DEPARTMENT OF THE NAVY OFFICE OF THE SECRETARY WASHINGTON, D. C. 20350

SECNAVINST 5215.1C Op-09B 14 April 1970

#### SECNAV INSTRUCTION 5215.1C

From: Secretary of the Navy
To: All Ships and Stations

Subj: Department of the Navy Directives Issuance System

- 1. <u>Purpose</u>. To promulgate revised policies, responsibilities, and standards for the administration of subject system.
- 2. <u>Cancellation</u>. SECNAVINST 5215.1B of 1 Oct 1964, The Navy Directives System, is superseded hereby.
- 3. Scope. The provisions of this publication are applicable throughout the Department of the Navy, except that separate provisions for the issuance of internal Marine Corps directives are contained in MCO P5215.1.
- 4. Action. Each addressee is responsible for installing and administering the system within his organization, and for ensuring that his administrative issuances which meet the indicated criteria are issued within or incorporated into the system, to the maximum practicable extent. Implementing directives shall not duplicate this instruction, but may add details necessary for local use, and provide further guidance where options have been indicated.
- 5. Administration and Maintenance. The Chief of Naval Operations shall ensure the implementation of the provisions of this instruction, grant any exceptions needed, and provide for possible improvements and other appropriate changes. Recommendations for changes, together with the reasons therefor, should be submitted to him.

John W. Warner
Under Secretary of the Navy

Distribution: (See page ii)

SECNAVINST 5215.1C 14 April 1970

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# LOCATOR CROSS-REFERENCE SHEET

Subj: The Department of the Navy Directives Issuance System

See:

(Recipient enter information as to where this instruction is maintained)

# DEPARTMENT OF THE NAVY DIRECTIVES ISSUANCE SYSTEM

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#### PART I

#### DEFINITIONS, CRITERIA, AND RESPONSIBILITIES

- R) I. TERMS DEFINED. The following terms and their definitions, listed in alphabetical order, will aid in interpreting this instruction, and in the continued administration of the Department of the Navy Directives Issuance System. This section of the directive also serves as an introduction to the remaining sections.
- R) ALL SHIPS AND STATIONS. The "to" line of a directive which is to be included in a particular special distribution system from specified organizations in the Washington, D.C. area to all or most of the components of the Department of the Navy-i.e., both parts 1 and 2 of the Standard Navy Distribution List.
- A) BULLETIN. (Applies only to Marine Corps issuances.) A directive having the same force and effect as an order, and used to publish material that is either primarily informative or otherwise directive in nature, but is not of continuing authority or reference value (e.g., requirements for one-time reports, temporary procedures, etc.). A bulletin includes a self-cancellation date.

CHANGE TRANSMITTAL. The medium used to transmit changes to an instruction or, under extenuating circumstances, a notice. Each transmittal describes the nature of the changes it transmits, and gives directions for making them. In the Marine Corps, comparable changes are to orders and bulletins.

CHECKLIST. A numerical list of effective instructions, used to verify the completeness and currency

A) of a master set of instructions. Omission of a directive from a list is not authority to cancel. A list of positive indications of cancellation may, however, be included in a checklist.

CROSS-REFERENCE SHEET (Subject or Locator). A sheet which is distributed with a directive, and filed in the directives binder, to assist users in locating the directive, when filed separately.

DIRECTIVE. An instruction (order), notice (bulletin), or change transmittal. It prescribes or establishes policy, organization, conduct, methods, or procedures; requires action or sets forth information essential to the effective administration or operation of activities concerned, or contains authority or information that must be promulgated formally.

DIRECTIVES ISSUANCE SYSTEM. The standard procedures and formats used to promulgate policy, procedures, and informational releases in the Department of the Navy.

DISTRIBUTION LIST. A list of "action" and "information" addressees of a directive. These addressees are selected on the basis of need to act or need to know

INSTRUCTION. A directive containing authority or information having continuing reference value, or requiring continuing action. It remains in effect until superseded or otherwise canceled by the originator or higher authority.

ISSUING AUTHORITY. The chief official of an established, independent component, by whose authority and under whose title a directive is promulgated.

JOINT DIRECTIVE. A directive issued jointly by one authority, in conjunction with one or more other authorities.

LETTER-TYPE DIRECTIVE. An instruction or notice prepared in a format similar to that of the naval

MESSAGE-TYPE DIRECTIVE. A directive transmitted via the Naval Communication System.

NOTICE. A directive of a one-time or brief nature, which has a self-canceling provision, and which has the same force and effect as an instruction. Usually, it will remain in effect for less than 6 months, but is not permitted to remain in effect for longer than one year. Any requirement for continuing action contained in a notice, such as the submission of a report, use of a form, or following a specified procedure, is considered to be canceled when the notice is canceled, unless the requirement is incorporated into another suitable document.

ORDER. (Applies only to Marine Corps issuances.) A directive of continuing authority or reference value. It is effective until superseded or otherwise canceled. A specific cancellation date may be stated when the period of effectiveness can be estimated. An order may be issued as a letter-type or manual-type directive.

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PAGE CHANGE. An additional or replacement page for an instruction or notice, transmitted under cover of a change transmittal, for insertion by recipients. It usually is preferable to a pen change. It is generally more economical, provides neater, more legible copy; and decreases the chance of errors and the time expended by recipients in making changes.

- A) PASTE-IN CHANGE. A change, usually of some length, which is entered by cutting the wording from the disseminating document or retyped copy and pasting onto the basic document which is being revised. A change will not be issued with the request or intent that it be pasted in, or which can be entered feasibly only by pasting in. The issuance of paste-in changes is prohibited because they:
  - (I) Often become detached from the directive on which they are pasted.
  - (2) Usually occupy more space than the wording they supersede, thus requiring a hinged type of insertion, and complicating subsequent reference.
  - (3) Tend to lessen the utility, and to detract from the appearance, of the basic directive.
  - (4) Usually prevent printing on both sides of the change page.
- R) PEN CHANGE. A change, usually relatively short, which is entered in the basic document in handwriting by the recipient. A change will not be issued with the request or intent that it be entered in handwriting, when the time required for a single addressee to enter all changes on a single sheet (two sides) of paper would exceed that required for him to remove a superseded sheet and insert a new one. Directions shall state precisely where the change occurs; i.e., page, paragraph, and line number, and any other pertinent information.
- A) PREPARING AUTHORITY. A chief official of an activity or component who has initiated or has been assigned the task of preparing a directive.

PUBLICATION-TYPE DIRECTIVE. An instruction or notice whose content is best suited to a publication format; i.e., parts, chapters, sections, etc., differing from a manual or publication only by the method of promulgation and identification. It includes a promulgation in the format of a letter-type directive, instead of the normal authenticating frontispiece in a publication. It carries the same subject number as the signed transmittal, and does not carry a separate publication number.

R) REVISION. A reissuance of an existing instruction in completely rewritten form.

SPECIAL DISTRIBUTION LIST. A list of addressees, compiled by an issuing authority to meet his special recurring distribution needs, when use of the Standard Navy Distribution List would be impractical. The list is compiled on the basis of some common denominator, such as the ships under a single type commander. The code consists of the short title of the issuing authority and a discrete number.

STANDARD NAVY DISTRIBUTION LIST (SNDL). The list which provides official addressing and distribution information for the Naval Establishment. It is published in two parts: Part I (OPNAV PO9B3-I07), Operating Forces of the Navy, Unified and Specified Commands; and U.S. Elements of International Commands (classified confidential); and Part 2, which is Section I of the Catalog of Naval Shore Activities (OPNAV PO9B3-I05).

SUPPLEMENT. A medium formerly used to add (R information to an existing instruction. It is now prohibited, except where necessary to change a joint interservice instruction, when the basic instruction cannot readily be changed.

WASHINGTON HEADQUARTERS ORGANIZATIONS. For purposes of this directive, Washington headquarters organizations comprise Navy Department components; the headquarters of the six systems commands, and of the Communications, Intelligence, Security Group, and Weather Service Commands; and the Office of the Oceanographer of the Navy.

WEEKLY TRANSMITTAL SHEET. The means by which the Navy Publications and Printing Service makes initial distribution of directives addressed to all ships and stations. The system may be utilized only by Washington headquarters organizations (see definition above) and such other organizations as the Chief of Naval Operations may determine.

#### 2. CRITERIA

- a. Standard. In general, a document, regardless of its physical characteristics, shall be issued in the directives system when it does one or more of the following:
- (1) Regulates or is essential to effective administration.
  - (2) Establishes policy. (R
  - (3) Delegates authority or assigns responsibility.
  - (4) Establishes an organizational structure. (R

- R) (5) Assigns a mission, function, or task.
  - (6) Initiates or governs a course of action or conduct.
- R) (7) Establishes a procedure, technique, standard, guide, or method of performing a duty, function, or operation.
- A) (8) Establishes a reporting requirement.
- R) (9) Changes, supersedes, or cancels another directive.
  - b. Optional. Issuances not falling within the scope of the above criteria nevertheless may be issued in the directives issuance system to obtain quick and controlled dissemination. Normally issued as notices, these may include:
  - (1) Requests for comments, approval, or information.
  - (2) Directions for routinely carrying out established operations, such as matters pertaining to individual personnel actions or special shipments of material.
  - (3) Informative announcements, such as education or promotion opportunities, recreational activities, work improvement plans, suggestions for morale building, or changes in office locations or telephone extensions.

#### c. Exceptions

- (l) Required
  - (a) Navy Regulations
- R) (b) Top secret documents
  - (c) Registered publications
  - (2) Optional
    - (a) Operations plans and orders
- R) (b) Technical and regulatory manuals and publications, and changes thereto
- R) (c) Issuances with fewer than six addressees, including "copy to" addressees, except those covered by 2a(4), (5), and (8) above.
  - d. Changes to Manuals or Publications Not in the Directives Issuance System. These normally shall be

issued as regular manual or publication changes or revisions, outside of the directives system. In no case may such a change be issued as an instruction. However, when information must be transmitted before a regular change or revision to the basic document can be published, a notice, preferably carrying a cancellation date of 6 months or less, may be issued. The notice may not remain in effect for longer than one year. Final manual changes should be made as soon as practicable, in any

- e. Lowercase vs. Capitalization. The GPO Style Manual does not support capitalizing the words "instruction," "notice," "change transmittal," "supplement," and "directive," when used in the body of a communication to indicate a directive. These words shall be capitalized when used as part of the identification of a specific directive; e.g., SECNAV Instruction 5215.IC. However, review authorities should not have directives or correspondence retyped merely to change consistent capitalization in these instances, unless directed by the issuing authority.
- f. Consolidated List of Canceled Directives. When several directives having relatively wide distribution lists are to be canceled, the issuance of a consolidated list of cancellations as a notice is preferable to the issuance of individual cancellation notices. When this procedure is used, the list shall include, for each canceled directive, the following information:
- (1) Complete identification; i.e., the issuing authority's abbreviation, the subject number, and, if any, the consecutive and serial numbers.
  - (2) Date of issue.
- (3) Subject or title, form number and date, and (A symbol of any report which was required but is not being continued by a revised directive or other appropriate document.
- (4) Distribution (as shown on the directive being (A canceled or the latest change thereto, except that the titles and short titles used to identify codes should be omitted).
  - (5) Reason for cancellation.
- 3. RESPONSIBILITIES. Each organizational component of the Department of the Navy indicated below is responsible for performing the functions listed, through appropriate command channels.

#### a. Chief of Naval Operations

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 Manages the directives issuance system from an overall standpoint, and provides for needed improvements and changes in the system.

- (2) Provides guidance and technical assistance to Department of the Navy components in developing, installing, operating, and improving the system.
- (3) Coordinates with headquarters organizations, recommendations for changes in policies, standards, and procedures.

#### b. Issuing Authority

- (I) Ensures compliance with this instruction, and promulgates any internal procedures necessary for installing and administering the system.
- (2) Ensures that directives are issued to adequately document programs, functions, organization, policies, and procedures for which he is responsible, and that there are no policy or procedural gaps or overlaps. In addition, ensures that they conform to pertinent Department of the Navy policies and regulations, and to statutory and other requirements. (On change of command, the chief official should not issue a directive stating that previous directives remain in effect. A change of command does not affect previously issued directives.)
- (3) Establishes controls, checkpoints, or procedures necessary to ensure the adequacy of directives issued, and compliance with those directives.
- (4) Conducts at least an annual review, and other reviews as needed, to ensure: (a) that the system's requirements and standards are being met; and (b) that all effective directives which the organization has issued or prepared are reviewed with a view toward canceling, updating, revising, or consolidating, as appropriate. The recommended schedule is to review instructions on their respective anniversary dates, thus spreading the workload over the year.
- (5) Provides training, as needed, for personnel drafting and reviewing directives, and for clerical, typing, and other personnel preparing and maintaining them.
- (6) Ensures that applicable directives which are not covered by allowance lists are automatically furnished a newly established activity or an activity moved from one command chain to another.
- c Directives Control Point (or similar designated unit)
- (1) Evaluates the system's operations within the individual organization on a continuing basis, and analyzes directives, making recommendations for improvements when appropriate.

- (2) Reviews each directive prior to signature, for compliance with directives standards, including preparation for proper signature, clearances, format, identification, security requirements, editorial standards, subject numbers, and references; and ascertains currency, need, completeness, and possible overlap of or conflict with other directives. Assigns consecutive numbers to new instructions after signature, and ensures dating prior to final issuance.
- (3) Reviews the proposed distribution of each directive, to ascertain that it is selectively appropriate and accurate, to avoid overdistribution or underdistribution. Arranges for reproduction, distribution, and stocking of directives so as to ensure efficient initial and reserve stocks.
- (4) Maintains, in standard three-ring or post binders, or automated equipment with equivalent search capabilities, master up-to-date sets of incoming and outgoing directives (or locator cross-reference sheets when classified or publication-type directives are filed elsewhere). Included shall be copies of message directives, filed in the same manner as other directives. Reviews checklists to ensure the currency of directives maintained by the organization, and obtains a complete set of all applicable directives upon activation or commissioning.
- (5) Issues annual numerical checklists, and quarterly additions and deletions, and annual alphabetical subject indexes, as necessary, of all currently effective instructions the organization has issued. (The Navy Publications and Printing Service (NPPS) provides this service to Washington headquarters organizations, except the Headquarters, Marine Corps.)
- (6) Prepares for issuance, if practicable, a conversion table, showing the old and new identifications of directives when the official name of an activity is changed, or when activities are consolidated or split.
- (7) Routes to the originator, for initiation of revision, extension, or cancellation, each instruction originated within the organization which is within six months of its cancellation or revision requirement date.
- d. Issuing Authority in the Washington Headquarters Organizations (in addition to the responsibilities outlined above)
- (1) Ensures appropriate coordination and clearance of each directive originated.
- (2) Submits for prior approval of the Chief of Naval Operations proposed requests for recurring reports

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from the Operating Forces of the Navy, and includes in the directive a positive indication that the request has been approved by the Chief of Naval Operations.

- (3) Furnishes (to the Navy Publications and Printing Service (NPPS)) signed directives addressed to all ships and stations, so that distribution may be made via the weekly transmittal.
- (4) Includes NPPS (FKA1F-0721/CL) on the distribution of all externally issued unclassified (2 copies) and confidential (1 copy) instructions, and notices (2 copies) which cancel external instructions. The Head-quarters, Marine Corps is exempt from this requirement.
- (5) Furnishes to NPPS pertinent information (number, date, distribution, etc.) regarding each secret instruction. The Headquarters, Marine Corps is exempt from this requirement.

#### e. Commandant of the Marine Corps

(1) Furnishes to directives control points or Washington headquarters issuing authorities necessary bulk mailing and distribution information, or mailing labels, and total copy requirements for distribution of individual Navy directives addressed to Marine Corps field activities.

(2) With respect to directives addressed to all ships and stations, furnishes to the Navy Publications and Printing Service mailing labels for consolidated weekly distribution to Marine Corps distribution lists and/or codes

#### f. Navy Publications and Printing Service (NPPS)

- (1) Compiles and distributes: (a) consolidated annual numerical checklists and alphabetical subject indexes of currently effective instructions distributed outside the issuing Washington headquarters organizations; and (b) quarterly additions and deletions, or other periodic issuances as required. These shall be based on information and documents furnished by the Washington headquarters organizations (see subparagraph d(4) above).
- (2) Makes initial distribution of directives to be distributed by a consolidated weekly transmittal to all ships and stations, as requested by the issuing Washington headquarters organizations, and in accordance with current regulations.
- (3) Includes in the weekly consolidated transmittal sheet a list of all unclassified external instructions received from Washington headquarters organizations during the period covered.

#### PART II

#### PREPARATION AND MAINTENANCE OF DIRECTIVES

- PREPARATION OF DIRECTIVES. The elements of a directive, both as to content and format, generally in the order that they appear in a directive, are contained in table 1, Preparation of Letter-Type Directives, and table 2, Preparation of Special Type Directives. Unless otherwise indicated, each procedure applies to instructions and notices. Unless specifically modified by directives issuance system requirements, the provisions of the Department of the Navy Correspondence Manual apply to directives, as well. While the formats of directives are intended to follow those of the naval letter as closely as practicable, the tables have been prepared in sufficient detail to minimize reference to the correspondence manual.
  - a. Preparation of Letter-Type Directives. Table 1 contains the standard requirements for the preparation, identification, promulgation, and distribution of directives issued in the directives issued in the directives issued.
  - b. Preparation of Special-Type Directives. Table 2 contains the standard requirements for promulgating message, publication, and joint intra-Navy and interservice directives

#### 2. STOCKING AND REQUISITIONING

- R) a. Requisitioning Directives. Users may requisition any needed additional copies of directives, excluding notices, from the stockpoint(s) shown on each directive. Washington headquarters organizations normally stock external directives, excluding notices, at the Supply and Fiscal Department (514.32), Naval Station, Washington, D.C. 20390. NAVSUP form 1205, Departmental Directives Requisition, if available, should be used when requisitioning copies of directives stocked at the above location. Copies of the form are available from the Naval Supply Centers at Norfolk and Oakland. The stock number for NAVSUP form 1205 is 0108-503-7702.
- b. Issuing and Ordering Prescribed Forms. Issuing authorities shall ensure that forms and other materials prescribed for use by a directive are readily available to users when and where required, and shall include adequate ordering and stocking information in the prescribing directives. If addressees are required to furnish information prior to the availability of forms, the directives shall provide adequate interim instructions.

#### 3. MAINTENANCE AND DISPOSAL

- a. Official Case File. Each issuing authority shall maintain official directives case files for the directives he issues. The files shall be arranged numerically by the standard subject identification numbers assigned the directives, and filed as a separate series, apart from other files. Each case folder shall include:
- (i) The official green copy (or other designated official copy) of the basic directive, containing clearance (R initials or names, and the original or copy containing the actual signature or other authentication.
- (2) The official green copy and/or signature copy (or other designated official copy) of any change, cancellation, cross-reference sheet, or revision of the basic directive.
- (3) Any reproduced (distribution) copy of the basic directive, and of any change, cancellation, crossreference sheet, or revision of the basic directive. Ships and (A small activities may dispense with this requirement, if approved by local authority.
- (4) Supporting and other pertinent documents, including those containing concurrences, nonconcurrences, approvals, and significant comments.

#### b. Filing Arrangements

- (1) Filing Instructions. Instructions normally should be filed according to (1) subject identification (R number; (2) consecutive number; and (3) issuing authority. Checklists of directives issued by Washington headquarters organizations shall be organized in this manner. If local conditions require, however, another sequence may be followed such as by issuing authority, or by a combination of subject identification number and issuing authority, or by security classifications. Alphabetic prefixes ("C" and (R "S", indicating security classification) to the subject designation should be disregarded in determining the numerical filing sequence.
- (2) Filing Notices. Notices ordinarily need not be filed in the master file, because of their brief duration. If it

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is necessary to interfile them with instructions temporarily, the notices should be tabbed so that each may be easily and promptly removed as soon as its cancellation date is reached. Copies may be filed in separate suspense binders when necessary.

- (3) Filing Cross-Reference Sheets. These shall be interfiled with instructions. Locator sheets are inserted in normal sequence, in lieu of the instructions they reference. A subject cross-reference sheet is placed in front of those instructions which carry the same subject identification number.
- (4) Filing Directives in General Correspondence Files. When copies of directives are needed to complete records, or to support or further document specific actions, they may be filed in the activity's general subject files, pertinent case files (such as contract case files), or other appropriate correspondence files.
- c. Entering Changes. Proper notations, such as "CH-1," etc., are entered in the upper right margin of the first page of each directive changed (or on the record-of-changes sheet for a publication-type instruction), to indicate changes received and incorporated.
- d. Required Cancellation or Revision. Seven years from the date of issuance, an instruction that has not been

revised (as contrasted with changed) in the interim requires cancellation or revision. One-year extensions of the required cancellation or revision date, as necessary, may be authorized by appropriate authority, as indicated below:

- (1) The first such extension may be approved by issuing authority.
- (2) Second extension, except for SECNAV and OPNAV instructions, must have approval of next higher echelon. It is envisioned that no more than two extensions will become necessary. Those directives which are or will be seven years old within ninety days of the date of this change are given a six-month grace period for the issuing authority to take positive action. Joint interservice instructions and publication-type directives are excluded from the seven-year cancellation or revision requirement date. In spite of the age of a directive, addressees shall not consider it as cancelled unless and until they have received the appropriate positive notification of such.
- e. Disposing of Directives. Official case files of directives, as described in 3a, shall be retained or disposed of as authorized in paragraph 5215(I)(a) of SECNAVINST 5212.5B, Disposal of Navy and Marine Corps Records.

| ITEM   | INSTRUCTION  | NOTICE   |  |
|--|--|--|--|
| I. STATIONERY AND PRINTING                       |  |  |  |
| a. Preparation                                   | The first page of a letter-type directive is pr<br>heading of the issuing authority, and the cont  | repared on the letterhead or a similar (modified, as needed) inuation sheets on plain bond paper.  |  |
| b. Reproduction                                  | with 5/16" holes punched or drilled 4-1/4" binders. One-hundred-pound offset book pap  | both sides of the sheet, head-to-head on 8" x 10-1/2" paper, center-to-center, for looseleaf filing in standard 3-ring/post her stock, or equivalent quality, is recommended. Publicationated on three sides, a typical page size is 7-7/8" x 10-1/4". If 4".  |  |
| :. Columnar Format                               | The standard single-column format used for naval letters normally shall be used, but a two-column format, such as is used in parts I and II of this instruction, may be used when it will not significantly increase printing costs. |  |  |
| 2. MARGINS                                       | letter apply. If, however, the text is to be se<br>in size, the top, bottom, and outside margins<br>to 3/4" before trimming, and the inside ma<br>usually centered at the bottom of the page of                                      | ectly from standard typing, the same margins as for a naval<br>it by any other means, and perhaps photographically reduced<br>i, including headings, page numbers, etc., should be from 1/2"<br>urgin from 1" to 1-1/4" before trimming. The page number is<br>on the third line below the last line of a full page of the text,<br>page number remains in the same position (on the fourth line |  |
| . SECURITY CLASSIFICATION                        |  |  |  |
| Classifying Directives                           | 1  | ormation shall be appropriately classified and marked, as<br>nent of the Navy Security Manual for Classified Information,  |  |
| First Page                                       | Typed in capitals twice and marked twice.  |  |  |
| 2) Continuation Pages                            | Typed in capitals and marked at the top and  | bottom of the page, all ending flush with the outside margin.  |  |
| Downgrading and Declassification nformation      |  |  |  |
| . Change Transmittals to<br>lassified Directives | A change transmittal to a classified directic content, regardless of the classification of the   | ve shall be classified or unclassified according to its own basic directive.   |  |
| . CANCELLATION DATE                              |  | The cancellation date of each notice shall be indicated in the upper right margin of the first page, on the second line above the identification symbols or the "In reply refer to" caption, as follows:   |  |
|  |  | a. If the cancellation date is for record purposes, with a contingent provision, the abbreviations "Canc frp:", with the abbreviation of the month (normally the last day), and the last two digits of the year of the record purposes cancellation. Example: "Canc frp: Jan 70". In such cases,   |  |
|  |  | the cancellation paragraph (last paragraph of the notice) is included, in order to specify the contingency. This paragraph shall be headed, "cancellation contingency" and in such cases, the contingency is stated and the "canc frp:" date is not repeated.  |  |
|  |  | b. If the notice is canceled on a given date, with no contingency, the cancellation date is shown as above, prefixed only by the abbreviation "Canc:". Example: "Canc: Jan 70". In such cases, there is no cancellation paragraph.   |  |

| ITEM                                   | INSTRUCTION  | NOTICE  |  |
|--|--|---|--|
| 5. IDENTIFICATION SYMBOLS              |  |   |  |
| a. First Page                          | designation abbreviation; i.e., the abbreviation of followed without a space by the abbreviation, "INS space, by the alphabetic prefix (for security classification) and the space of the security classification in the security classification is spaced by the alphabetic prefix (for security classification).   | tine below the last line of the letterhead, are: (I) The the issuing authority, as used in the designation line, ST" or "NOTE", as appropriate, and followed, after a cation), when applicable; by the subject identification |  |
| Security and security and              | number, including consecutive number (for instructions), and alphabetic suffix (for revision indication) (Note: If the directive designation abbreviation overlaps the last line of the letterhead, it should either be typed on the second line below the last line of the letterhead, or divided into two lines, broken after "INST" or "NOTE"); (2) drafter's code or other identification, if employed locally (orginating (drafting)) |   |  |
|  | authority identification must be shown on all SECN and notices are distinguished also by the presence or required on classified directives, located on the   | AV directives); and (3) date of signature. Instructions or absence of consecutive numbers. Serial numbers are line above the date: On a change transmittal or appropriate, and a consecutive change or supplement             |  |
|  | number, are added to the designation abbreviation lin  | ne; e.g., "SECNAVINST 2700.2 CH-i".   |  |
| b. Continuation Pages                  |  |   |  |
| (I) Identification Symbols             | The same identification symbols used on the first p<br>the originator's (drafter's) identification is omitted.   | age are located at the top outside margin, except that  |  |
| (2) Outline Subheading                 | (usually numbered with an Arabic numeral) begins   | e third and succeeding pages if the main paragraph<br>more than one page before. The indication may be<br>may be at the top of any such page (e.g., (12c(3)(b),<br>below.   |  |
| c. Page Change                         |  |   |  |
| (1) One-Page Change                    | 1  | e side of a sheet) in issuing a page change, the change on the side which contains the change. Example:   |  |
|  | "SECNA VINST 5000.25 CH-I<br>26 Jan 1970"  |   |  |
| .•                                     | The unchanged side retains the same identification as  | when previously issued.   |  |
| (2) Revised First Page                 | When a revised first page of a directive is issued as a of 26 Jan 1970", shall be shown in the upper right consumption of the basic directive. Example: "CH-I of 26  | orner of the page, two spaces above the identification  |  |
|  | SECNAVI  | NST 5000.26   |  |
|  | Op-09B<br>21 April 19  | <b>969''</b>  |  |
| d. Pages Printed<br>Horizontally       | Usually, identification symbols are placed in the sam<br>printed vertically. If this presents layout problems or<br>may be placed parallel to the text, at the top right ma  | problems of arrangement, the identification symbols   |  |
| 6. DESIGNATION                         | Each directive shall be identified as follows on the de-   | signation line:   |  |
| a. Issuing Authority<br>Identification | The first segment of the directive designation is the issuing authority; e.g., directives issued by the Se directives. The abbreviated location of the issuing authore are more than the one activity of the type involuda:  JAX".   | cretary of the Navy are identified as "SECNAV" thority shall be included in the designation line when   |  |
| b. Type of Directive<br>c. Designation | "INSTRUCTION" or "NOTICE," as appropriate, following   | ows the abbreviation of the issuing authority.  |  |
| (1) Indication of Classification       | The degree of security classification is indicated alph prefixing the specified letter to the subject number secret material is excluded from issuance in the didirective merely to convert the former symbols (zer directives which bear the zero(es) prefix(es) should to pages thereof, have not been changed."   | r; i.e., "C" for confidential and "S" for secret. (Top<br>rectives system.) (Note: Do not issue a change to a<br>ros) to these letter symbols.) However, references to  |  |

| (2) Subject or Code Number           |  | 4  |
|--------------------------------------|--|--|
|                                      | Each instruction and nation inmed shall be essioned  | d a sandad artisation ideal (Caratan and Caratan artisation)   |
| (a) Source                           | SECNAVINST 5210.11A, Department of the Navy St   | d a standard subject identification code number from tandard Subject Identification Codes.   |
| b) Guidelines                        |  | most important subject covered in the directive. The appropriate subject identification code to be assigned:   |
| Purpose of the Directive             | Consider the purpose and general significance of the the issuing authority.  | directive, rather than the functional responsibilities of  |
| 2. Related Directives                | select the one designating the most specific or co<br>pumps, freight, water supply, or tools). However,<br>subject (i.e., a process or action, such as procurement | her the related directives on a given subject. Preferably, increte subject matter (i.e., a definite "thing," such as select the one designating the general or an abstract ent, transportation, maintenance, or inventory control) |
|                                      | when the directive relates only to such a function, specific action subject.   | or when the action covers more than one concrete or  |
| . Equally Applicable                 | When two or more subject numbers appear equally  | applicable, select the number within the major subject   |
| •, • • •                             | group that relates to the purpose of the directive.  |  |
| 3) Consecutive Numbers               |  |  |
| a) General                           | A consecutive number, preceded by a period, is   |  |
|                                      | added to the subject identification number of an instruction by the directives control point or other  |  |
|                                      | designated unit. It is not assigned to a notice or   |  |
|                                      | subject cross-reference sheet. The issuing authority assigns the number in numerical sequence to each  |  |
|                                      | instruction he issues under the same subject   |  |
|                                      | identification number, regardless of the security  |  |
|                                      | classification of the individual instruction. Consecutive numbers assigned to instructions which later   |  |
|                                      | are canceled, are not reused.  |  |
| ) Identifying Revisions              | A revised instruction shall retain the same subject  |  |
|                                      | identification number and the same consecutive   |  |
|                                      | number as the instruction it supersedes, with the addition of a suffix capital letter (the first revi-   |  |
| •                                    | sion "A", the second "B", etc.) immediately  |  |
|                                      | following the consecutive number. The alphabetic suffixes "I" for the ninth revision and "O" for the   |  |
| •                                    | fifteenth revision will not be used. (Since the  |  |
| :                                    | cancellation paragraph specifies the directive being   |  |
|                                      | canceled or superseded, it will be apparent to recipients that, in these cases, the "I" or "O" was   |  |
| •                                    | not used.)   |  |
| Combining Instructions               | An instruction that combines and supersedes two  |  |
| Combining Indiractions               | or more previous instructions bearing the same   |  |
|                                      | subject number should be assigned the consecutive  |  |
|                                      | number of the canceled directive relating most<br>closely to the new one, or that having the lowest  |  |
|                                      | consecutive number. An alphabetical suffix desig-  |  |
|                                      | nation is assigned, to indicate revision.  |  |
| • *                                  |  |  |
| d) Identifying Change<br>ransmittals |  | ation line of the transmittal by the same number as the the date), plus an assigned change transmittal number  |
| runamii idis                         | added to the identification; e.g., "SECNAV INS   |  |

TABLE 1 - PREPARATION OF LETTER-TYPE DIRECTIVES (CON.)

|             | ITEM   | INSTRUCTION   | NOTICE  |  |
|-------------|--|---|---|--|
| R)          | (d) Identifying Change<br>Transmittals (Continued) | designation, the designation line is broken on a change transmittal to a notice after the date, and on a change transmittal to an instruction after the instruction number, and underscored only once, under the second line of the designation, with the underscore extended the length of the longer of the two lines. Examples:  |   |  |
| A)          |  | COMALASKANSEAFRON NOTICE 11000 OF 2 DEC 1969 CHANGE TRANSMITTAL I   |   |  |
| A)          |  | COMSEVENTEEN INSTRUCTION 10000.123 CHANGE TRANSMITTAL 2   |   |  |
| R)          |  | A separate series of consecutive numbers shall be assigned to the changes to each individual directive, regardless of whether the basic directive or an enclosure is changed. When the first page of a directive is revised and a page replacement issued, the designation line of the new page is the same as that of the page being replaced.   |   |  |
|             | (e) Identifying<br>Supplements                     | A supplement is assigned the same number as the in-<br>a consecutive Arabic number. Example: "SECNAV  | struction it modifies, plus the abbreviation "SUP" and INST 6320.8C SUP-1".   |  |
|             |  |   |   |  |
| <b>A</b> ), | 7. "FROM" LINE                                     |   | from" line. In joint directives, titles are typed in list<br>ctives where the full title of the issuing authority is<br>ead.  |  |
| R)          | 8. "TO" LINE                                       | Addressees shall be shown on the "to" line by individual titles, collective titles, or descriptive titles. If none of these titles is appropriate and a distribution list is used, the words "distribution list" shall not be shown in the "to" line. In this event, the "to" line shall be omitted, and the addressees shall be identified under the "distribution" line following the signature. When collective or descriptive titles are used in the "to" line, and also when it is necessary to indicate the number of copies to be distributed, the addressees shall be additionally identified under the "distribution" line. When newly established commands are covered by a descriptive title in the "to" line, it should be understood that they are automatically covered, even though they could not be specifically included under the "distribution" line. The "to" line may be omitted also on internal directives where addressees are otherwise self-evident. |   |  |
| •           | 9. SUBJECT   |   |   |  |
|             | a. General   | The same procedure used for a naval letter is followed  | od.   |  |
| R)          | b. Classified Directives                           | unclassified or of a lower classification, whenever directives, however, the initial letter of the classif  | tive, the drafter shall assign to it a subject which is<br>consistent with security and clarity. In all classified<br>ication assigned to the subject standing alone, or of<br>immediately following the subject: "(U)", "(C)", or  |  |
|             | c. Change Transmittals                             | Only the subject of the basic directive being char designation of the subject shall be shown on the sub   | aged and any letter necessary to specify the security lect line.  |  |
| •           | 10. REFERENCE LINE(S)                              |   |   |  |
|             | a. General   | addressees, shall be listed in the reference line(s). V   | understanding of a directive, and are in the hands of<br>When it becomes desirable to reference a document not<br>being prepared, the abbreviation "NOTAL" shall be   |  |
| A)          |  | This may be done, however, if it is necessary to discr<br>facilitate that discussion. When listed, the referen<br>"(canceled (date of cancellation))," as applicable. S   | led or previously has been canceled should be avoided, uses such a document in the text, and if such listing will not shall be concluded with "(canceled hereby)" or so listing the directive being canceled does not satisfy tives which have been canceled are no longer stocked quested. |  |

| ITEM                                     | INSTRUCTION  | NOTICE  |            |
|--|--|---|------------|
| b. Directives From<br>Higher Authority   |  | cessary to implement further a directive from higher<br>basic directive only as a reference, provided the basic<br>ctive.   | (R         |
| c. Citing Directives                     | When a directive is referenced in another document identification.   | , information should be adequate to ensure accurate   |            |
| (I) Instructions                         | For an instruction, the citation shall include the identification of the issuing authority, the abbreviation "INST," the subject identification number, and the consecutive number. For example: "SECNAVINST 5215.IC." The date is not required unless it will be useful to the reader. The subject should be indicated when it is substantially different from the directive at hand, and is not clearly indicated in the text. Citing the subject is not required when it is the same as the referencing document. |   | (R         |
| (a) Revised Instruction                  | If a revised instruction is being referenced, the lates 5215.IC").   | t suffix letter should be shown (e.g., "SECNAVINST  |            |
| (b) Status of Instruction                |  | esponsibility of the user to ascertain the present status<br>the latest revision of an instruction in the referencing<br>are its continued currency.  |            |
| (c) Specific Provision                   | citation automatically becomes applicable to the re-<br>the revision, unless there is a specific provision to  | ted instruction is superseded by a revision, the original vision, to the extent applicable within the contents of the contrary. (The citation of a directive does not en issued, and no change to a citing directive will be  | (A         |
| (d) Superfluous Wording                  | Terms such as "current edition," "latest edition," an and therefore should not be used.  | d "series" have no foundation in logic, are redundant,  | (A         |
| (2) Notices                              | subject identification number, and, since notices do   | the issuing authority, the abbreviation "NOTE," the<br>not carry consecutive numbers, the date: The subject<br>rent from the directive at hand, and is not clearly<br>and when it is the same as the referencing document.  | (A         |
| 11. ENCLOSURE(S)                         |  |   |            |
| a. Enclosure Line(s)                     | beginning on a new line. Numbered (1), (2), (3), etc   | beginning at the left margin, each enclosure notation and described. Note: When a brief sheet is attached nolosure line shall clearly indicate its separate identity on bulletin board, as indicated; then destroy)."   | ( <b>A</b> |
| b. Identification                        | enclosure are placed at the top outside margin of the<br>sheets of the directive. The word "enclosure" and<br>bottom outside margin of each page. When the<br>identification may be made to run parallel to the te   | the date of the directive that is associated with the enclosure, in the same manner as on the continuation its number (e.g., "Enclosure (I),") are placed at the text runs horizontally on the page, the enclosure ext, and if so, will be placed in the lower right margin. It is an enclosure to a directive, only the cover or the |            |
| Exceptions                               |  | se used again as an original or enclosure to a future<br>would deface it for such future use, the enclosure<br>or and date) may be omitted.   | (A         |
|  | The enclosure designation may be omitted from such be impractical.   | h documents as machine runs, where affixing it would  | (A         |
|  | When directive/enclosure identifications are not al identifications should be attached to each enclosure,  | fixed to the enclosure itself, a cover page with proper if practical.   | (A         |
| en e | Although directions for removing obsolete or supers change transmittal, the pages are not labeled as enclo   | seded pages, and for inserting pages, are included in a sures to the transmittal.   |            |

|            | ITEM   | INSTRUCTION   | NOTICE   |
|------------|--|---|--|
|            | c. Reissuing Directives  | may use the basic directive as an enclosure when the difficult to restate, or too lengthy to quote, and were  | essary to reissue a directive from higher authority, he pertinent parts of the basic directive are technical and re not distributed to addresses of the new directive. In ald not be quoted or repeated in the covering directive.         |
|            | 12. TEXT   |   |  |
| <u>A</u> ) | a. Paragraph Titles  | initial letters of the first and main words capitalize  | ated in exhibits I through 4. Underlined titles, with the d, shall be used for all major paragraphs; they may be by a period if the text follows immediately. If the line, the period is omitted.  |
|            | b. Paragraph Sequence  | Paragraph sequence is as appropriate, except as follo   | ws:  |
| <b>A)</b>  | (1) Purpose Paragraph  | The first paragraph shall state the purpose of the directive, including, when appropriate, the get applicability and nature of the action required. A complete sentence is not required. The first portice the purpose paragraph of a revised instruction states the purpose of the series, and not of the partice revision. (See paragraph 12c(3)(a) for indicating the purpose of a particular revision.) Wherever the purpose is clearly evident in a brief subject line, and the directive, less the distribution list, is no more approximately one page long, the purpose paragraph may be omitted. |  |
| A)         | (2) Paragraph Canceling<br>Other Directives, Reports,<br>and Forms | cancels another directive(s) or other document(s).  | s) of cancellation, or supersession, when the directive<br>if the purpose paragraph is omitted in a short directive<br>these cancellation statements. A complete sentence is   |
| <b>A</b> ) |  |   | ent which requires a report or form, the report symbol<br>he canceled form will be indicated in the cancellation   |
|            |  |   | If the sole purpose of a notice is to cancel another document(s), the cancellation statement may be made in the purpose paragraph.   |
|            |  | An instruction is canceled by another instruction or  | a notice.  |
|            |  |   | directive shall be canceled specifically by the same<br>tives shall be canceled as soon as they have served their  |
| R)         | (3) Report(s) and/or<br>Form(s) Puragraph                          | elsewhere in the text, the report symbol, form num  | a form, even though the requirement may appear<br>iber, and title of the report or form shall be identified<br>nless the notice has a cancellation paragraph, in which<br>graph.   |
| R)         | (a) Special Requirements<br>for Forms                              | how information should be submitted if submissi<br>authorities are encouraged to provide a filled-in samp   | ate when and where the forms may be obtained, and<br>on is required prior to availability of forms. Issuing<br>ole form to illustrate the action required, if the form is<br>in a filled-in form is provided it shall be identified as a   |
| A)         |  | When a form is not readily available to addressees, as furnished with the directive. The word "Sample" is r   | nd if seeing the blank form is desirable, a copy may be not required on blank forms.   |
| <b>A)</b>  |  | users of the form will require greater quantities the directive), the originator will determine the need f  | orm will furnish that form to the addressees. When the han initially distributed (i.e., as an enclosure to the or, sponsor, and ensure the timely availability of the requiring activities to use such forms shall not require wn expense. |

| ITEM  | INSTRUCTION   | NOTICE   |    |
|---|---|--|----|
| (b) Due Dates   | the same paragraph with the reporting requirement,  | reports or data are required, they shall be included in unless included in a separate paragraph on submission processing, printing, and distribution, and for actional be allowed.   | (I |
| (4) Self-Canceling (Expiration) Provisions                              |   |  | Œ  |
| (a) Change Transmittals   | The last paragraph of each change transmittal shall action has been taken, but no specific cancellation d   | state that the transmittal is canceled after appropriate ate is given. A complete sentence is not required.  | (A |
| (b) Notices   |   | If the exact length of time the notice needs to remain in effect cannot be determined at the time of issuance (e.g., cancellation is contingent on completion of specified actions, or on incorporation of the information into another document), the cancellation date is "for record purposes." When such is the case, the cancellation date for record purposes is shown in the upper right corner of the first page, and the contingent provision in the last paragraph of the notice. A complete sentence is not required. Cancellation of a notice for record purposes shall not serve to relieve an addressee of a responsibility assigned therein because he was unable to meet the anticipated earlier due date (e.g., the submission of a one-time report). It does, however, terminate an assignment, the continuation of which is contingent upon additional promulgation by the issuing authority, until such action has been taken (e.g., reissuance in an instruction or appropriate manual). If there is no condition to the cancellation provision, there is no cancellation paragraph. The cancellation date is always the last day of a month, except when such date would create an administrative problem. | (A |
| c. Special Provisions  (1) Upgrading or Downgrading Change Transmittals | basic directive, it shall include specific instructions<br>the appropriate letter ("C" for confidential and "S"<br>a change transmittal to a classified directive is un   | nformation of a higher classification than that of the for upgrading the basic directive, including prefixing for secret) to the subject identification number. When a classified or is given a lower classification than the iven a lower classification, based on the nature of its  | (F |
| (2) Reissuing Directives  | directive, except when additional or significant in<br>delegate responsibilities and issue internal instruc-<br>methods to be used when alternative methods are p<br>permissive). However, when it is determined by | re, as contrasted with further distributing the original information is required (e.g., when it is necessary to stions for accomplishing them, to select procedural termitted, or to interpret policy when interpretation is an issuing authority that it is necessary to reissue as pertinent portions thereof may be quoted in the text  | (R |
| (3) Indicating Changes  |   |  |    |
| (a) Revised Directive or<br>Change Transmittal                          | summary of the change(s) which made the revision of policy, procedures, responsibility assignments, report be summarized. Changes made to improve readable  | agraph, a separate paragraph, or a subparagraph, a or change transmittal necessary. Only major changes to ting requirements, and like matters of substance are to bility, update background information, and the like, need not be summarized, but should be marked in the   | (A |

TABLE 1 - PREPARATION OF LETTER-TYPE DIRECTIVES (CON.)

| ITEM   | INSTRUCTION   | NOTICE  |
|--|---|---|
| (12c(3)(b)) Markings on<br>Revised Page      | to obviate the necessity of the reader's making significant change of a word or short phrase may page margin opposite the change. Where a paragrap indication of all individual changes is not feasible which has been made, the symbol, or symbols in brackets, may be placed within the text (within a symbol normally employed is an asterisk (*). We revision, however, capital letters may be used instead "R" for "revision or change." When placed in side on even numbered pages of single-column formations. | to indicate where substantive changes have been made, a detailed comparison with the previous version. A be indicated by an appropriate symbol in the outside h or larger section has been revised extensively and the, or it is desired to indicate more accurately a change pairs or groups, suitably enclosed in parentheses or sentence, in lieu of a sentence or paragraph, etc.): The here it is desired to show the specific nature of the d, as follows: "A" for "addition," "D" for "deletion," the margin (right side on odd numbered pages and left at, and in the left or right margins where changes occur accompanied by an appropriate parenthesis or bracket. |
| 13. SIGNATURE a. Location                    |   | and title or "By direction," as authorized) begins at, or<br>on directives issued by a single issuing authority. See<br>s.  |
| ) b. Requirements                            | 5216.5A, Department of the Navy Correspondence and stations or SNDL, parts 1 and 2 (both "actic Secretary of the Navy or one of his civilian execut Navy Staff Office; any officer of three- or four-headquarters organization; or, provided that he is quarters organization or major OPNAV component,   | pecified for naval correspondence in SECNAVINST e Manual, except that directives addressed to all ships on" and "information" copies) shall be signed by: the ive assistants; the chief official of a Department of the star rank assigned in a duty status to a Washington of flag rank, the chief official of a Washington head, as authorized by the Chief of Naval Operations. When ges made should include a new signature if the original ficial for the particular directive.  |
| 14. DISTRIBUTION                             |   |   |
| a. Selecting Addressees                      | Distribution shall be based on "need to act" and "ne  | eed to know." The following should be considered:   |
|  | The purpose and subject content of the directive, a document listed for cancellation.   | and the distribution made of related directives or any  |
|  | The organizational aspects of the directive, such as concerned with the functions, and supervisory and concerned with the functions.  | the mission and functions covered, who performs or is ommand relationships involved.  |
| b. Selection Review                          | The drafter of a directive has the primary responsible ensuring that the distribution list is accurate in all res   | oility for determining to whom it is applicable, and for spects.  |
|  | prior to its being issued by him or on his behalf (i.e. point, and the signing official or his immediate staff results of the first two of these reviews to be noted  | three reviews of the distribution list of each directive,<br>be, by the writer of the directive, the directives control<br>be, with positive, separate indications of the affirmative<br>on the master file copy of the directive. In addition,<br>its should be made by the management analysis staff<br>easures taken.  |
| c. Naval Shore Activities                    |   | ecial consideration should be given to including in the<br>need to know, every senior official in the command   |
| d. Marine Corps Field<br>Activities          |   | ps field activities (less those pertaining only to Navy int of the Marine Corps (ABP), for advice as to Marine as follows:  |
| (1) SECNAV Directives                        | The Commandant of the Marine Corps shall desi included in the Standard Navy Distribution List (SN   | gnate any distribution required, in addition to that $DL$ ), parts $1$ and $2$ .  |
| (2) Washington Headquarters<br>Organizations | The Commandant of the Marine Corps shall advise distribution to be made to Marine Corps activities  | as to Marine Corps applicability, and shall specify any is not having Navy personnel attached. The specific   |

| ITEM  | INSTRUCTION  | NOTICE   |           |
|---|--|--|-----------|
| 2) Washington Headquarters<br>Organizations (Continued) | headquarters organization directive addressed to Ma<br>to Navy personnel). In addition to this specific des  | wn under the "distribution" line of each Washington<br>rine Corps field activities (other than those pertaining<br>ignation, a statement regarding the extent or kind of<br>tivities should be included in the text when needed to   | ī<br>r    |
| Usting Addressees                                       | distributed, when required), is shown under this cast alphabetical sequence, except that SNDL A and non-SNDL codes. The titles, short titles, or other parentheses after the code where it is particularly sign adding the titles, short titles, or abbreviations, continuing the titles, short titles, or abbreviations, continuing the printing or collation of additional ponly certain activities of a collective or descriptive | codes, special list codes, or number of copies to be ption. Codes are listed first in numerical and then in B, when used, are listed first. SNDL codes precede commonly accepted abbreviations may be added in ifficant or desirable. In determining the desirability in sideration should be given to whether their inclusion ages. Parts of titles or codes may be addressed when title of an SNDL code are to be addressed. Exclude |           |
|   | addressees or list codes is preferred. Vertical listing However, paragraph listing should be used when it we one activity with the same title, so that the title a portion thereof is included with the title. Examples:   | "less" or the limiting word "only." (Vertical listing of<br>3, arranged in more than one column, is permissible.<br>Ill obviste an additional page(s).) If there is more than<br>lone is not distinctive, the mail address or sufficient<br>NAS (the activity title), Dallas (the mailing address);<br>Marietta, is not needed, since the full activity title is   | (A        |
| Determining Number of opies                             |  |  |           |
| General Requirement                                     | Normally, the issuing authority shall furnish each a distributed: one for his master set and one for his acti  | noncite Than ddressee a minimum-of two copies of each directive ion (or information) office.   |           |
| Established Requirements                                | The number of copies to be distributed need not distribution list is used and it is not necessary to incr (e.g., all ships and stations lists) already indicate quan   | ease or decrease the established quantities. These lists   |           |
| Classified Directives                                   | In order to lessen accountability and control workled issuing authority shall distribute a minimum number of   |  | (A        |
| Distributing by Airmail                                 | When information is urgent, or when a directive include the time allowed is limited, airmail shall be used for a originating authority; e.g., 250 or more miles, or overs  | ddressees located at a considerable distance from the-   |           |
| Need to Retain  | Each addressee of a directive is permitted to exercise the directive in file, and administrative inspection list that appropriate higher authority may publish lists of chief are encouraged to institute "must hold" lists for  | ts shall take recognition of this discretion, provided directives which must be held. Fleet commanders in  | <b>(A</b> |
| Need to Know  | In order to assist issuing authorities in restricting difollowing procedure is suggested:  | istribution lists to those who "need to know," the   | (R        |
| Addressees  | If a directive has a selected distribution list (is not encouraged to use OPNAV form 5210/41, Records (Ption, or a handwritten or stamped endorsement on a the issuing authority that he be deleted from future re   | aperwork) Management Improvement Recommenda-<br>copy of the directive being questioned, to suggest to  |           |
| Replies to Requests for etion                           | No replies to requests for deletion from distribution li-  | sts are required, unless the deletion is questioned.   | (A        |
| Changes to Directives                                   | Changes to directives shall not be issued solely to mod  | ify distribution lists.  | (A        |
| Changes to All Ships and                                | An addressee wishing to change his all ships and static<br>for change to the Navy Publications and Printing Servi  | ons distribution requirement should submit a request   | (A        |

TABLE 1 - PREPARATION OF LETTER-TYPE DIRECTIVES (CON.)

|           | ITEM   | INSTRUCTION   | NOTICE   |
|-----------|--|---|--|
| 1         | 2.14 Changes to All Ships and<br>Stations Distribution (Continued) | distribution of a single issuance by means of a<br>amounts cannot be altered to accommodate speci   | xed-quantity distribution list oriented to the repetitive weekly transmittal sheet. Accordingly, the quantity al requirements for certain items. Therefore, any retaining all future distributions to the requesting activity.   |
| <b>A)</b> | k. Shorter Distribution Lists                                      | transmittal when the change is issued to a directive<br>directive" should be used following the word "Dist<br>basic directive should be furnished to the distribut<br>two copies should be furnished to the directives<br>official case file.) The issuing authority is responsit | the entire distribution list on an unclassified change with a long distribution list. Instead, "same as basic tribution" on the change transmittal. (One copy of the ion activity, to accomplish mailing of the change, and control point, for (I) the master binder, and (2) the let for ensuring that the distribution list furnished the int is current, in accordance with the Standard Navy |
|           | 15. INFORMATION COPIES   | · .   |  |
|           | a. Identification  | Information addressees are shown under the "copy<br>been established for addressees (e.g., non-naval activi<br>and, if necessary, complete address are shown.   | to" line, generally by SNDL codes. If no codes have ities, such as other Government agencies), specific title  |
|           | b. Quantity  | Quantity requirements, if indicated, are typed in pa<br>is sent each addressee, or after the list codes if differ   | rentheses after the "copy to" line if the same quantity<br>ent quantities are sent to addressees.  |
| R)        | c. Directives to the<br>Operating Forces                           |   | included as a "copy to" addressee on each directive and going to the operating forces, when he is not an   |
| A)        | d. Implementation by<br>Subordinate Commands                       | When it is known that a directive will require prospective addressees of the implementing directive this distribution would contribute to efficiency and  | further implementation by subordinate commands, should be included as "copy to" addressees, wherever economy.  |
| R)        | 6. STOCKING INFORMATION  | The designated stocking point, or other appropri-<br>directive, excluding notices, distributed outside the it   | ate stocking information, shall be included on each ssuing organization.   |
| R)        | 17. DRAFTER'S IDENTIFICATION                                       | number, room number, building, telephone numbe<br>small activities; cases where the original is to b  | e copies: Drafter's name, organizational title or code<br>r, and date typed. Exceptions to this practice: Very<br>e duplicated, and equivalent identification will be<br>theets, attached to the directive; and where coded<br>the identification symbols.   |
| R)        | 18. PAGE NUMBERS   | Pages are numbered consecutively, beginning with th   | e second page.   |
|           |  | with the page number of the preceding page plus a<br>indicated in the above example, three additional<br>(assuming printing on both sides of the sheet), but  | nal data in a change to a directive shall be numbered small (lowercase) letter, as 10a, 10b, and 10c. If, as pages are required, the fourth page would be blank would assume the next number (i.e., 10d) for control directive shall be numbered with the next sequential idded pages are transmitted by change transmittal.)  |
| •         | 19. CROSS REFERENCE  | Cross-reference sheets shall be prepared and distrib-<br>uted with instructions when they will be needed by<br>users to indicate or facilitate the location of an<br>instruction. Recipients shall file the sheets in the<br>master binder.                                       |  |
|           | a. Locator Cross-Reference<br>Sheets                               | Locator cross-reference sheets shall be issued when directives will be maintained outside the directives binder because of their security classification or bulk  |  |

| ITEM   | INSTRUCTION   | NOTICE   |
|--|---|--|
| b. Subject Cross-Reference Sheets  c. Canceling Cross-Reference Sheets | Subject cross-reference sheets shall be issued with instructions when two or more subjects are applicable, or an instruction covers two or more major subjects that logically should not be issued as separate instructions. The subject cross-reference number shall be shown on the lower left of the signature page of the instruction being cross-referenced (e.g., CROSS REFERENCE [001]). The cross-reference sheets shall not be assigned consecutive numbers.  The subject cross-reference sheets shall be canceled the referenced instruction is canceled. | specifically by the issuing authority at the same time   |
| 20. REPETITIVE MINOR CHANGES   | provided the issuing authority determines that it is<br>may be justified when the use of pen changes would<br>the use of page changes would be tantamount to a<br>the change is applicable throughout the directive, ar   | opriate place at the beginning of the directive being corporates all the changes or the basic directive is |

#### TABLE 2 - PREPARATION OF SPECIAL-TYPE DIRECTIVES

| ITEM  | INSTRUCTION  | NOTICE  |   |
|---|--|---|---|
| . MESSAGE-TYPE                              |  |   | • |
| . Identification                            |  |   |   |
| (1) General                                 |  | tification at the head of the text, consisting of the llowed by the designation "INST" for an instruction identification code.  |   |
| 2) Washington Headquarters<br>Organizations | A general message, which contains information com<br>will carry a directive identification number, in addition   | ing within the scope of the directives issuance system, on to series identification.  | ( |
| . Consecutive Numbers                       | Consecutive numbers shall not be assigned to message instructions.   |   |   |
| :. Changes                                  | Changes to message directives shall be identified in SECNAVINST 5215 CH-1 or SECNAVNOTE 5215 CH  | the text by an appropriate change number. Examples: I-I.  | ( |
| d. Cancellation                             | provides for earlier cancellation; (2) a subsequent rel  | s following the release date, except when: (i) the text<br>ease (change) specifically extends the time; or (3) it is<br>cellation paragraph of the latter shall identify and  |   |
| PUBLICATION-TYPE                            |  |   |   |
| . Identification                            | is applicable. They need not be shown on each pa<br>separate covers or binders are used, they shall show t<br>information, subject code number, and the consecu- | e date shall be placed on the title page or the cover, as<br>ige, as is required for letter-type directives. When<br>the title, issuing office, and the directive identification<br>tive (point) number, if applicable. The edition desig-<br>le cover, but they must be included in the transmittal. |   |
| . Contents                                  | The contents of a publication issued in the directives   | issuance system shall include the following:  |   |
|   | (I) A locator cross-reference sheet.   |   |   |
|   | (2) A signed transmittal prepared in letter information should be shown on the signed transmitt  | type directive format. Distribution and stocking al, or, if necessary, on a separate page.  |   |
|   | (3) A table of contents, as appropriate.   |   |   |
| •   | (4) A record-of-changes page.  |   |   |
| Changes                                     | Publication-type instructions are changed in the same  | manner as letter-type instructions. (See table 1.)  | • |
| l. Bringing into the System                 | reprinting, by issuing an instruction that changes instruction shall (I) make specific reference in its tex  | nce system may be brought into the system without<br>the publication number to a directive number. The<br>t to the publication it is bringing into the system, and<br>provide a space for indicating the location of the<br>directives binder.  | - |
| Distribution (Need to (now)                 | In order to assist originators in restricting distribution procedure is suggested:   | on lists to those who "need to know," the following   | ( |
| ) Issuing Authorities                       | issuing authorities are encouraged to use OPNA   | ion list (those not addressed to all ships and stations), V form 5210/41, Records (Paperwork) Management with the publication, and perforated for tearing out by  |   |
| 2) Addressees                               | Addressees should utilize the form to request the is and changes to the directive.   | suing authority to delete them from future revisions  | ( |
| 3) Replies to Requests for Deletion         | No replies to requests for deletion from distribution l  | lists are required, unless the deletion is questioned.  | ( |

#### TABLE 2 - PREPARATION OF SPECIAL-TYPE DIRECTIVES (CON.)

| •         | ITEM                   | INSTRUCTION   | NOTICE   |
|-----------|------------------------|---|--|
|           | 3. JUINT DIRECTIVES    | +   |  |
| R)        | a. Intra-Navy          | A directive issued by two or more components of following ways:   | the Department shall be identified in one of the   |
|           |                        | (1) Assignment of separate, complete directive id component (as is done for joint interservice direct numbers is shown on a different line as the designation the directive designation.  |  |
| ٠         |                        | Designation abbreviation example: BUMEDINST 5000<br>BUPERSINST 5000   |  |
|           |                        | Designation example:  |  |
|           |                        | BUMED INSTRUCTION 5000.10 BUPERS INSTRUCTION 5000.15  |  |
|           |                        |   |  |
|           |                        | When, in either the directive designation or the designent the items, the second line is indented two spaces designations, extended the length of the longest line.   | nation abbreviation, it is necessary to break one of . There is only one underscore for the directive  |
|           |                        | (2) Assignment of a composite single identification component in the identification and designation linidentification number, including consecutive point number difficult to determine a component with primary continuing responsibilities concerning a joint directive numbers for each combination of issuing components issued by one of them, shall be assigned. When it is not the designation abbreviation, it should be broken after issuing authorities or after the abbreviation of the second | mber, where applicable. Because it sometimes may cognizance, and because each component assumes e, a separate series of instruction consecutive point, unrelated to the numbers assigned to instructions eccessary to break either the directive designation or the diagonal line separating the abbreviation of the |
|           |                        | COMALASKANSEAFRON/<br>COMSEVENTEENINST 5000.10  |  |
|           | • •                    | COMALASKANSEAFRON/COMSEVENTEEN-<br>INST 5000.10 CH-1  | v v  |
|           | . ,                    |   | •  |
| A)        | (I) Referencing        | All references to a joint directive should be to the com  | plete identification symbol and designation line.  |
| A)        | (2) Checklisting       | Each participating component should include the direct  | tive in its checklist.   |
| <b>A)</b> | (3) Use                | Issuing authorities are cautioned against use of the necessary jurisdictional coverage. This is particularly staffing exists. In all types of cases, consideration sho common superior.   | relevant when additional duty or "double-hatted"   |
| R)        | (4) Signature Location | The signature and related information (i.e., name, a ends flush with the right margin; that of the first conparticipant is centered between them.   | nd title or "By direction") of the issuing authority<br>igner begins at the left margin; and that of the third   |
| A)        |                        | If a joint directive is used by a "double-hatted" official place as on directives from the chief official of a single   |  |
|           | b. Interservice        | A directive issued jointly by the Navy and one or more designation by each of the participating services. Interservice directive shall carry the authorized abbrevement as other directives issued by that component.   | When issued in the directives issuance system, an  |

# TABLE 2 -- PREPARATION OF SPECIAL-TYPE DIRECTIVES (CON.)

| ITEM           | INSTRUCTION  | NOTICE  |    |
|----------------|--|---|----|
| c. Changes     | When the text of a joint directive is to be changed, c<br>effected before the change is promulgated. Such chan<br>directives. (See table i.) | coordination with the other issuing authorities must be nges are issued in the same manner as other letter-type | (R |
| d. Supplements | A supplement may be issued to a joint interserving specific to components of the Department of the N joint directive.                        | ce instruction to provide information or procedures<br>lavy, provided it does not alter the terms of the basic  | (A |

SECNAVINST 5215.1 14 April 1970

Signature

Name in capitals typed or stamped, on 4th line below preceding line of typing, beginning at horizontal center of page. Title or "By direction" (when required), I line below, and blocked with, name.

Copy to

Quantity requirements, if necessary, are typed in parentheses after "Copy to." Typed on 2nd line below last line of "Distribution" information.

Page Number

Pages are numbered consecutively, beginning with second, 3 lines or ½ inch from bottom of page, centered.

.

DEPARTMENT OF THE NAVY Canc frp4: Peb 70 OFFICE OF THE CHIEF OF NAVAL OPERATIONS

WASHINGTON, D.C. 20350

OPNAVNOTE 0000 Op-09B 1 Dec 1969

Fyped in capitals and underlined, 5 lines below last line of address in letterhead, if unclassified (7 lines if classified), beginning at left margin.

Next-to-last paragraph identifies forms and reports, if any, and includes their titles and symbols/numbers. If cancellation has contingent provision, this is stated in last paragraph. Date is always last day of month, except when such date would create an administrative problem.

Stocking

Not mandatory on notices, since stocks are held by originating offices.

OPNAV NOTICE 0000

From: Chief of Naval Operations
To: Commandants of Naval Districts

Subj: (Describe)

- 1. Purpose. (Text)
- 2. Title. (Text)
- 3. Title. (Text)
  - a. Title. (optional) (Text)
  - b. Title. (optional) (Text)
- 4. Cancellation. When action has been completed.

J. L. DOE By direction

Distribution (3 copies): SNDL FF1 (Naval District Commandants)

Stocked: OPNAV (09B24)

This sample emphasizes items peculiar to a notice. Refer to sample instruction and continuation page for guidance on common items.

#### EXHIBIT 4. SAMPLE CHANGE TRANSMITTAL



#### DEPARTMENT OF THE NAVY OFFICE OF THE SECRETARY WASHINGTON, D. C. 20350

SECNAVINST 5215.1C CH-1 Op-09B 1 Mar 1970

Designation

Complete identification of change transmittal, typed in capitals and underlined, beginning at left margin.

Subject

Subject of directive being changed is always given.

SECNAV INSTRUCTION 5215.1C CHANGE TRANSMITTAL 1

Prom: Secretary of the Navy To: All Ships and Stations

- Subj: Department of the Navy Directives Issuance System

Encl: '(1) Revised page 10

- 1. Purpose. To transmit new page 10, which revises procedures for preparing a change of transmittal.
- 2.  $\underline{\text{Action}}.$  Remove page 10 of the basic instruction and insert enclosure (1).

J. LEE DOE Under Secretary of the Navy

Distribution: SNDL, Parts 1 and 2 MARCORPS Lists H and I

Stocked: Supply and Fiscal Dept. (514.32) Naval Station Washington, D.C. 20390 Note

This sample emphasizes items peculiar to a change transmittal. Refer to sample instruction for guidance on common items.



DEPARTMENT OF THE NAVY
OFFICE OF THE SECRETARY
WASHINGTON, D. C. 20350

SECNAVINST 5215.13A CH-2 SUP 0621 2 Peb 1970

#### SECNAV INSTRUCTION 5215.13A CHANGE TRANSMITTAL 2

Prom: Secretary of the Navv

Subj: Defense Supply Agency publications

Encl: (1) List of designated service representatives

- 1. Purpose. To transmit change 2 to the basic instruction.
- Change. Remove enclosure (1) and insert the revised enclosure (1), furnished herewith.
- 3. Repetitive Changes. The following repetitive change is applicable throughout the basic instruction; this change is issued in lieu of numerous changes: "Commander, Naval Supply Systems Command" vice "Chief, Bureau of Supplies and Accounts"; and "command(s) and bureau(s)" vice "bureau(s)."
- Piling. This change transmittal should be inserted at the beginning of the basic instruction, in lieu of making numerous changes.
- 5. Cancellation. When the basic directive is superseded by a revision, or is otherwise canceled.

A. R. SE

Distribution: SNDL A (Mavy Department)

Stocked: Supply and Piscal Dept. (514.32) Naval Station Washington, D.C. 20390

is (ii

Effective until revision or cancellation of basic

is filed, not canceled

29

LOCATOR CROSS-REFERENCE SHEET Use exact subject or publication title. Subj: Department of the Havy Security Hanual for Classified Information Brief statement of content may be added when This directive is not filed in these directives binders, but may be found at the following location:

The instruction or notice is completely identified.

This cross-reference sheet is filed under this number in directives binder.

This number indicates general subject matter are only; no specific directive member is applicable. File in directives binder under this number, immodistuly preceding all directives bearing this unbject

JOINT MESSAGEFORM DERFTER OR PROCEEDING LANY STAND SIE POR HELIAGE CENTER/COMMUNICATIONS CENTER BULLY INCLUDED THE COLUMN THE CO PROP. SECNAV TO ALMAY UNCLAS //NG5770// SECNAVNOTE 2110 MESSAGE BIRECTIVES A. SECNAVINST 5215-10 1. (TEXT) (TEXT) 4TEXT) ACTION ... 11 DD ... D3 ... D3 ... CWO B. JOHES, LT. 43C. 55999 2 FEB M. K. SHITH. CAPT. 43 57995 UNCLASSIFIED DD .25.173

HOTE

Use of GCR (optical character recognition) type font, as in this exhibit, is required only when local communications instructions so specify.

All elements of a message-type directive except this identification line are prepared in accordance with standard instructions for regular messages not in Department of the Navy Directives Issuance System.

3

Directive identification and subject. If this is instruction or change transmittal, designation

would be changed accordingly.

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#### (Figures in parentheses indicate paragraph numbers)

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